

## **Course XIV (Rev G)**

### **Export Control, Security and Governance**

#### **Abstract**

Import and export control is essentially supply chain management in which the network of participants includes foreign parties. It is a highly regulated form of supply chain management when classified or restricted materials, information or services are involved. The degree of regulation varies by type of item, its end-use, countries involved and individuals involved.

Two key U.S. regulations for controlling exports are the International Trade in Arms Regulation (ITAR) and the Export Administration Regulation (EAR). This course is not meant to make you an expert in the regulations. The goal is to identify, understand and resolve the work flow problems.

Day 1 provides a review of the regulations for managing imports and exports of arms, classified information and dual-use items. Day 2 extends the required activities into work flows and describes the problems exporters and regulators are experiencing — which are typical of any organization that operates in the corrective action mode.

This course concludes by showing how most of the export control problems can be eliminated by applying the CMII principles.

#### **Outline**

##### **EXPORT REGULATIONS AND ARMS CONTROL**

- A.* World Trade, Tariffs & Export Controls
- B.* Arms Sales and Joint Control Programs
- C.* U.S. Munitions List & Export Licenses (ITAR)
- D.* Arms Services & Technology Exports (ITAR)
- E.* Control of Classified Information (per NISP)

##### **EXPORT CONTROL OF DUAL-USE ITEMS**

- F.* Commerce Control List & Country Chart
- G.* EAR Decision Tree, Forms and Penalties
- H.* Key Components of ITAR, NISP and EAR

##### **MANAGING THE EXPORT CONTROL PROCESS**

- I.* ITAR and EAR Work Flows and Issues
- J.* Export Control Specialists and Duties
- K.* DOD's License Application Reviews & Tips
- L.* Export Control Process Automation

##### **CMII OPERATING STANDARDS & PROCEDURES**

- M.* Work Centers, Scheduling and Changes
- N.* Supply Chains With Export Controls
- O.* Improve Performance and Conformance
- P.* Course Review and Summary